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PENNIE & EDMONDS LLP
WILLIAM S. GALLIANI
3300 HILLVIEW AVENUE
PALO ALTO, CA 94304



101282199A

9826-027 Appendix B

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/31/2000

REEL/FRAME: 010580/0157
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KELLY, JOHN J. ✓

DOC DATE: 01/21/2000

ASSIGNEE:

OAK TECHNOLOGY, INC.
139 KIFER COURT
SUNNYVALE, CALIFORNIA 94086

SERIAL NUMBER: 09495227 ✓
PATENT NUMBER:

FILING DATE: 01/31/2000 ✓
ISSUE DATE:

MAYA BENNETT, EXAMINER
ASSIGNMENT DIVISION
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2 SHEET

Attorney Docket No. 9826-027-999

1.31.00



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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN J. KELLY

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

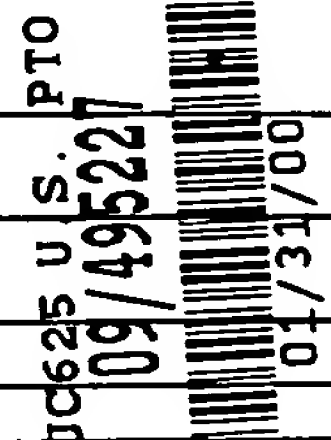
3. Nature of conveyance:

☒ Assignment
☐ Security Agreement☐ Merger
☐ Change of Name

Other: _____

Execution Date: January 21, 2000

2. Name and address of receiving party(ies):

Name: OAK TECHNOLOGY, INC.Address: 139 Kifer CourtSunnyvale, CA 94086Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 21, 2000

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William S. GallianiAddress: PENNIE & EDMONDS LLP3300 Hillview AvenuePalo Alto, CA 943046. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1150 (Order No. 9826-027-999)
(Duplicate copy of this page attached)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*William S. Galliani
Name of Person Signing[Signature]
Signature1-31-00
DateTotal number of pages including cover sheet: 2

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ASSIGNMENT

WHEREAS I, **JOHN J. KELLY** of Menlo Park, California, have invented certain new and useful improvements in an *Apparatus and Method for Calibrating Write Power*, and having executed concurrently herewith an application for United States patent disclosing and identifying the invention; and

Whereas, **OAK TECHNOLOGY, INC.**, a corporation of the State of **Delaware**, and having its principal place of business at **139 Kifer Court, Sunnyvale, CA 94086** (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefore;

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to me in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I have sold assigned, and set over and by these presents do hereby sell, assign, and set over unto said assignee and said assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both foreign and domestic, that may or shall issue thereon; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

I hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, I convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of said United States application (or other application if any there be) in priority to other applications; and I do hereby covenant and agree with the said assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date: 21 January, 2000

By:


JOHN J. KELLY



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 08, 2000

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PENNIE & EDMONDS LLP
WILLIAM S. GALLIANI
3300 HILLVIEW AVENUE
PALO ALTO, CA 94304

9826-014-999

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RECORDATION DATE: 05/23/2000

REEL/FRAME: 010851/0495
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JEN, LI-HUAN ✓

DOC DATE: 05/17/2000

ASSIGNEE:
OAK TECHNOLOGY, INC. ✓
139 KIFER COURT
SUNNYVALE, CALIFORNIA 94086

SERIAL NUMBER: 09578217 ✓
PATENT NUMBER:

FILING DATE: 05/23/2000 ✓
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
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PATENTS ONLY

Attorney Docket Number 9826-014-999

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

LI-HUAN JEN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: May 17, 2000

2. Name and address of receiving party(ies):

Name: OAK TECHNOLOGY, INC.

Address: 139 Kifer Court

Sunnyvale, CA 94086

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 17, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

William S. Galliani
PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA 94304

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-1150

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William S. Galliani

33,885

Name of Person Signing Reg. No.

Signature

May 23, 2000

Date

Total number of pages including cover sheet:

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Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

ASSIGNMENT

WHEREAS I, LI-HUAN JEN of Santa Clara, California have invented certain new and useful improvements in an invention entitled, *Apparatus and Method for Counting Error Rates in an Optical Compact Disc Storage System*, and having executed concurrently herewith an application for United States patent disclosing and identifying the invention; and

Whereas, **OAK TECHNOLOGY, INC.**, a corporation of the State of **Delaware**, having its principal place of business at **139 Kifer Court, Sunnyvale, CA 94086** (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefore;

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to me in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I have sold assigned, and set over and by these presents do hereby sell, assign, and set over unto said assignee and said assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both foreign and domestic, that may or shall issue thereon; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

I hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of my said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, I convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of said United States application (or other application if any there be) in priority to other applications; and I do hereby covenant and agree with the said assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date:

5/17/2000

By:


LI-HUAN JEN